

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gary L. Gastineau et al.

Appl. No.: 09/815,589

Filed: March 23, 2001

For: HEDGING TRADING OF MUTUAL  
FUNDS OR OTHER PORTFOLIO  
BASKET PRODUCTS

Examiner: Kazimi, Hani M.

Art Unit: 3691

Confirmation No.: 7446

Atty. Docket No: 00322.0007.CNUS01

Customer No. 22930

**Reply and Amendment Under 37 C.F.R. § 1.121**

***Mail Stop Amendment***

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In response to the Notice of Non-Compliant Amendment (37 CFR 1.121) dated **September 5, 2008**, Applicants submit the following Amendment and Remarks.

It is not believed that extensions of time or fees for net addition of claims are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 08-3038 referencing docket number **00322.0007.CNUS01**.

**Amendments to the Claims** are reflected in the **Listing of Claims**, which begins on page **2** of this paper.

**Remarks** begin on page **15** of this paper.